

INVESTMENT QUESTIONNAIRE – APPROPRIATENESS TEST

(hereinafter the “questionnaire”)

PPF banka a.s., with registered office at Prague 6, Evropská 2690/17, post code: 160 41, Company identification No.: 47116129, registered in the Commercial Register kept by the Municipal Court in Prague, Section B, Insert 1834

(hereinafter the “Dealer”)

1. General information

The Dealer submits this questionnaire in accordance with the Act on Undertaking on Capital Market¹, the Implementary regulations to this act and other relevant legal regulations to the Client for the purpose of providing qualified investment services. The information provided by the Client will be used by the Dealer to assess the adequacy of the services provided by the Dealer.

If the Client refuses to respond to the questions in this questionnaire or replies falsely, incompletely or inaccurately to any of the questions, the Dealer will be unable to assess the Client’s real needs quite accurately, and may select an investment instrument, investment service or manner of provision thereof for the Client that is not appropriate for the latter or may refuse to provide the requested investment service.

The nondisclosure obligation and other obligations according to the Act on Undertaking on Capital Market and the Personal Data Protection Act² apply to all information provided by the Client in this document, which will also be the subject of business secrecy according to the Act on Banks³.

With their signature, the Client confirms the authenticity and completeness of the information provided in this questionnaire, that they have understood the contents hereof, completed it to the best of their knowledge and that they will inform the Dealer without undue delay about any fundamental changes in the information provided in this questionnaire.

2. Identification of the Client

Name / Company name

Birth reg. no. (date of birth) / ID no.

Address / Registered office

Represented by⁴ (legal entities only)

Position (legal entities only)

¹ Act No. 256/2004 Coll., on Undertaking Business on the Capital Market, as amended (hereinafter the “Act on Undertaking on Capital Market”).

² Act No. 101/2000 Coll., on Protection of Personal Data and Amendment to Some Acts, as amended.

³ Act No. 21/1992 Coll., on Banks, as amended.

⁴ Person authorised to act on behalf of the company – only a member of the statutory body.

3. Client's experience and expertise

1. Which of the following investment instruments do you know or have experience with?

Knowledge	Experience	
<input type="checkbox"/>	<input type="checkbox"/>	Money market instruments (treasury bills, bills of exchange)
<input type="checkbox"/>	<input type="checkbox"/>	Bonds and similar debt securities (government bonds, municipal bonds, corporate bonds etc.)
<input type="checkbox"/>	<input type="checkbox"/>	Structured products (investment certificates, e.g. guaranteed certificates, index certificates, airbag, bonus certificates, etc.)
<input type="checkbox"/>	<input type="checkbox"/>	Collective investment funds (mutual funds, investment funds)
<input type="checkbox"/>	<input type="checkbox"/>	Shares and similar asset securities including ETFs (=Exchange Traded Funds)
<input type="checkbox"/>	<input type="checkbox"/>	FX and interest derivatives (forwards, swaps, FX options or combinations thereof)
<input type="checkbox"/>	<input type="checkbox"/>	Other (please indicate):

2. Which of the following types of investment services / transactions do you know or have experience with?

Knowledge	Experience	
<input type="checkbox"/>	<input type="checkbox"/>	Purchase / sale of investment instruments
<input type="checkbox"/>	<input type="checkbox"/>	Asset management which includes investment instruments
<input type="checkbox"/>	<input type="checkbox"/>	Safekeeping of investment instruments
<input type="checkbox"/>	<input type="checkbox"/>	Administration of investment instruments
<input type="checkbox"/>	<input type="checkbox"/>	REPO operations
<input type="checkbox"/>	<input type="checkbox"/>	Lending of investment instruments
<input type="checkbox"/>	<input type="checkbox"/>	Other (please indicate):

3. How long have you been investing?

- Less than 1 year
- 1 to 5 years
- 5 or more years

4. How often do you invest?

- Exceptionally
- About once per quarter
- About once per month
- More than once per month

5. What is your annual investment volume?

- 0-10 million CZK
- 10-50 million CZK
- 50 or more million CZK

6. What is the nature of your investments?

- Hedging
- Speculation
- Investment of available funds

7. Have you or the employees responsible for investment at your company studied a field specialising in capital markets?

- Yes
- No

8. Do you or the employees responsible for investment at your company have long-term (at least 2 years) experience in the area of capital markets or investing in financial instruments?

- Yes
- No

In..... dated

Signature